POWER INEQUALITIES FOR THE NUMERICAL RADIUS OF A PRODUCT OF TWO OPERATORS IN HILBERT SPACES

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ABSTRACT. Some power inequalities for the numerical radius of a product of two operators in Hilbert spaces with applications for commutators and self-commutators are given.

1. INTRODUCTION

Let $(H; \langle \cdot, \cdot \rangle)$ be a complex Hilbert space. The numerical range of an operator T is the subset of the complex numbers \mathbb{C} given by [11, p. 1]:

$$W(T) = \left\{ \left\langle Tx, x \right\rangle, \ x \in H, \ \|x\| = 1 \right\}.$$

The numerical radius w(T) of an operator T on H is given by [11, p. 8]:

$$w(T) = \sup\{|\lambda|, \lambda \in W(T)\} = \sup\{|\langle Tx, x \rangle|, \|x\| = 1\}.$$
 (1.1)

It is well known that $w(\cdot)$ is a norm on the Banach algebra B(H) of all bounded linear operators $T: H \to H$. This norm is equivalent to the operator norm. In fact, the following more precise result holds [11, p. 9]:

$$w(T) \le ||T|| \le 2w(T),$$
 (1.2)

for any $T \in B(H)$

For other results on numerical radii, see [12], Chapter 11.

If A, B are two bounded linear operators on the Hilbert space $(H, \langle \cdot, \cdot \rangle)$, then

$$w(AB) \le 4w(A)w(B). \tag{1.3}$$

In the case that AB = BA, then

$$w(AB) \le 2w(A)w(B). \tag{1.4}$$

The following results are also well known [11, p. 38]: If A is a unitary operator that commutes with another operator B, then

$$w\left(AB\right) \le w\left(B\right). \tag{1.5}$$

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If A is an isometry and AB = BA, then (1.5) also holds true.

We say that A and B double commute if AB = BA and $AB^* = B^*A$. If the operators A and B double commute, then [11, p. 38]

$$w(AB) \le w(B) \|A\|. \tag{1.6}$$

As a consequence of the above, we have [11, p. 39]: Let A be a normal operator commuting with B, then

$$w(AB) \le w(A) w(B). \tag{1.7}$$

For other results and historical comments on the above see [11, p. 39–41]. For recent inequalities involving the numerical radius, see [1] -[9], [13], [14]-[16] and [17].

2. Inequalities for a product of two operators

Theorem 1. For any $A, B \in B(H)$ and $r \ge 1$, we have the inequality

$$w^{r}(B^{*}A) \leq \frac{1}{2} \| (A^{*}A)^{r} + (B^{*}B)^{r} \|.$$
(2.1)

The constant $\frac{1}{2}$ is best possible.

Proof. By the Schwarz inequality in the Hilbert space $(H; \langle ., . \rangle)$ we have

$$\begin{aligned} |\langle B^*Ax, x\rangle| &= |\langle Ax, Bx\rangle| \le ||Ax|| \cdot ||Bx|| \\ &= \langle A^*Ax, x\rangle^{1/2} \cdot \langle B^*Bx, x\rangle^{1/2}, \qquad x \in H. \end{aligned}$$
(2.2)

Utilizing the arithmetic mean - geometric mean inequality and then the convexity of the function $f(t) = t^r$, $r \ge 1$, we have successively,

$$\langle A^*Ax, x \rangle^{1/2} \cdot \langle B^*Bx, x \rangle^{1/2} \leq \frac{\langle A^*Ax, x \rangle + \langle B^*Bx, x \rangle}{2}$$

$$\leq \left(\frac{\langle A^*Ax, x \rangle^r + \langle B^*Bx, x \rangle^r}{2}\right)^{\frac{1}{r}}$$

$$(2.3)$$

for any $x \in H$.

It is known that if P is a positive operator then for any $r \ge 1$ and $x \in H$ with ||x|| = 1 we have the inequality (see for instance [15])

$$\langle Px, x \rangle^r \le \langle P^r x, x \rangle.$$
 (2.4)

Applying this property to the positive operator A^*A and B^*B , we deduce that

$$\left(\frac{\langle A^*Ax, x\rangle^r + \langle B^*Bx, x\rangle^r}{2}\right)^{\frac{1}{r}} \le \left(\frac{\langle (A^*A)^r x, x\rangle + \langle (B^*B)^r x, x\rangle}{2}\right)^{\frac{1}{r}} \quad (2.5)$$
$$= \left(\frac{\langle [(A^*A)^r + (B^*B)^r] x, x\rangle}{2}\right)^{\frac{1}{r}}$$

for any $x \in H$, ||x|| = 1.

Now, on making use of the inequalities (2.2), (2.3) and (2.5), we get the inequality

$$|\langle (B^*A)^r x, x \rangle|^r \le \frac{1}{2} \langle [(A^*A)^r + (B^*B)^r] x, x \rangle$$
(2.6)

for any $x \in H$, ||x|| = 1.

Taking the supremum over $x \in H$, ||x|| = 1 in (2.6) and since the operator $[(A^*A)^r + (B^*B)^r]$ is self-adjoint, we deduce the desired inequality (2.1).

For r = 1 and B = A, we get in both sides of (2.1) the same quantity $||A||^2$ which shows that the constant $\frac{1}{2}$ is best possible in general in the inequality (2.1).

Corollary 1. For any $A \in B(H)$ and $r \ge 1$ we have the inequalities

$$w^{r}(A) \leq \frac{1}{2} \| (A^{*}A)^{r} + I \|$$
 (2.7)

and

$$w^{r}(A^{2}) \leq \frac{1}{2} \| (A^{*}A)^{r} + (AA^{*})^{r} \|,$$
 (2.8)

respectively.

A different approach is considered in the following result:

Theorem 2. For any $A, B \in B(H)$ and any $\alpha \in (0, 1)$ and $r \ge 1$, we have the inequality

$$w^{2r} (B^*A) \le \left\| \alpha (A^*A)^{\frac{r}{\alpha}} + (1-\alpha) (B^*B)^{\frac{r}{1-\alpha}} \right\|.$$
(2.9)

Proof. By Schwarz's inequality, we have

$$|\langle (B^*A) x, x \rangle|^2 \le \langle (A^*A) x, x \rangle \cdot \langle (B^*B) x, x \rangle$$
$$= \left\langle \left[(A^*A)^{\frac{1}{\alpha}} \right]^{\alpha} x, x \right\rangle \cdot \left\langle \left[(B^*B)^{\frac{1}{1-\alpha}} \right]^{1-\alpha} x, x \right\rangle, \qquad (2.10)$$

for any $x \in H$.

It is well known that (see for instance [15]) if P is a positive operator and $q \in (0, 1]$ then for any $u \in H$, ||u|| = 1, we have

$$\langle P^q u, u \rangle \le \langle Pu, u \rangle^q \,.$$
 (2.11)

Applying this property to the positive operators $(A^*A)^{\frac{1}{\alpha}}$ and $(B^*B)^{\frac{1}{1-\alpha}}$ $(\alpha \in (0,1))$, we have

$$\left\langle \left[(A^*A)^{\frac{1}{\alpha}} \right]^{\alpha} x, x \right\rangle \cdot \left\langle \left[(B^*B)^{\frac{1}{1-\alpha}} \right]^{1-\alpha} x, x \right\rangle \\ \leq \left\langle (A^*A)^{\frac{1}{\alpha}} x, x \right\rangle^{\alpha} \cdot \left\langle (B^*B)^{\frac{1}{1-\alpha}} x, x \right\rangle^{1-\alpha}, \quad (2.12)$$

for any $x \in H$, ||x|| = 1.

Now, utilizing the weighted arithmetic mean - geometric mean inequality, i.e., $a^{\alpha}b^{1-\alpha} \leq \alpha a + (1-\alpha)b$, $\alpha \in (0,1)$, $a, b \geq 0$, we get

$$\left\langle (A^*A)^{\frac{1}{\alpha}} x, x \right\rangle^{\alpha} \cdot \left\langle (B^*B)^{\frac{1}{1-\alpha}} x, x \right\rangle^{1-\alpha} \leq \alpha \left\langle (A^*A)^{\frac{1}{\alpha}} x, x \right\rangle + (1-\alpha) \left\langle (B^*B)^{\frac{1}{1-\alpha}} x, x \right\rangle \quad (2.13)$$

for any $x \in H$, ||x|| = 1.

Moreover, by the elementary inequality following from the convexity of the function $f(t) = t^r$, $r \ge 1$, namely

$$\alpha a + (1 - \alpha) b \le (\alpha a^r + (1 - \alpha) b^r)^{\frac{1}{r}}, \qquad \alpha \in (0, 1), \ a, b \ge 0,$$

we deduce that

$$\alpha \left\langle (A^*A)^{\frac{1}{\alpha}} x, x \right\rangle + (1-\alpha) \left\langle (B^*B)^{\frac{1}{1-\alpha}} x, x \right\rangle$$

$$\leq \left[\alpha \left\langle (A^*A)^{\frac{1}{\alpha}} x, x \right\rangle^r + (1-\alpha) \left\langle (B^*B)^{\frac{1}{1-\alpha}} x, x \right\rangle^r \right]^{\frac{1}{r}}$$

$$\leq \left[\alpha \left\langle (A^*A)^{\frac{r}{\alpha}} x, x \right\rangle + (1-\alpha) \left\langle (B^*B)^{\frac{r}{1-\alpha}} x, x \right\rangle \right]^{\frac{1}{r}}, \qquad (2.14)$$

for any $x \in H$, ||x|| = 1, where, for the last inequality we used the inequality (2.4) for the positive operators $(A^*A)^{\frac{1}{\alpha}}$ and $(B^*B)^{\frac{1}{1-\alpha}}$.

Now, on making use of the inequalities (2.10), (2.12), (2.13) and (2.14), we get

$$\left|\left\langle \left(B^*A\right)x,x\right\rangle\right|^{2r} \le \left\langle \left[\alpha\left(A^*A\right)^{\frac{r}{\alpha}} + (1-\alpha)\left(B^*B\right)^{\frac{r}{1-\alpha}}\right]x,x\right\rangle \tag{2.15}$$

for any $x \in H$, ||x|| = 1. Taking the supremum over $x \in H$, ||x|| = 1 in (2.15) produces the desired inequality (2.9).

Remark 1. The particular case $\alpha = \frac{1}{2}$ produces the inequality

$$w^{2r} (B^*A) \le \frac{1}{2} \left\| (A^*A)^{2r} + (B^*B)^{2r} \right\|,$$
(2.16)

for $r \ge 1$. Notice that $\frac{1}{2}$ is best possible in (2.16) since for r = 1 and B = A we get in both sides of (2.16) the same quantity $||A||^4$.

Corollary 2. For any $A \in B(H)$ and $\alpha \in (0,1)$, $r \ge 1$, we have the inequalities

$$w^{2r}(A) \le \left\| \alpha \left(A^* A \right)^{\frac{r}{\alpha}} + (1 - \alpha) I \right\|$$
 (2.17)

and

$$w^{2r} (A^2) \le \left\| \alpha (A^*A)^{\frac{r}{\alpha}} + (1-\alpha) (AA^*)^{\frac{r}{1-\alpha}} \right\|,$$
 (2.18)

respectively.

Moreover, we have

$$\|A\|^{4r} \le \left\|\alpha \left(A^*A\right)^{\frac{r}{\alpha}} + (1-\alpha) \left(A^*A\right)^{\frac{r}{1-\alpha}}\right\|.$$
 (2.19)

3. Inequalities for the sum of two products

The following result may be stated:

Theorem 3. For any $A, B, C, D \in B(H)$ and $r, s \ge 1$ we have

$$\left\|\frac{B^*A + D^*C}{2}\right\|^2 \le \left\|\frac{(A^*A)^r + (C^*C)^r}{2}\right\|^{\frac{1}{r}} \cdot \left\|\frac{(B^*B)^s + (D^*D)^s}{2}\right\|^{\frac{1}{s}}.$$
 (3.1)

Proof. By the Schwarz inequality in the Hilbert space $(H; \langle ., . \rangle)$ we have

$$\begin{aligned} |\langle (B^*A + D^*C) x, y \rangle|^2 \\ &= |\langle B^*Ax, y \rangle + \langle D^*Cx, y \rangle|^2 \\ &\leq [|\langle B^*Ax, y \rangle| + |\langle D^*Cx, y \rangle|]^2 \\ &\leq [\langle A^*Ax, x \rangle^{\frac{1}{2}} \cdot \langle B^*By, y \rangle^{\frac{1}{2}} + \langle C^*Cx, x \rangle^{\frac{1}{2}} \cdot \langle D^*Dy, y \rangle^{\frac{1}{2}}]^2, \qquad (3.2) \end{aligned}$$

for any $x, y \in H$.

Now, on utilizing the elementary inequality

$$(ab + cd)^2 \le (a^2 + c^2) (b^2 + d^2), \qquad a, b, c, d \in \mathbb{R},$$

we then conclude that

$$\left[\langle A^*Ax, x \rangle^{\frac{1}{2}} \cdot \langle B^*By, y \rangle^{\frac{1}{2}} + \langle C^*Cx, x \rangle^{\frac{1}{2}} \cdot \langle D^*Dy, y \rangle^{\frac{1}{2}} \right]^2$$

$$\leq \left(\langle A^*Ax, x \rangle + \langle C^*Cx, x \rangle \right) \cdot \left(\langle B^*By, y \rangle + \langle D^*Dy, y \rangle \right), \quad (3.3)$$

for any $x, y \in H$.

Now, on making use of a similar argument to the one in the proof of Theorem 1, we have for $r,s\geq 1$ that

$$\left(\langle A^*Ax, x \rangle + \langle C^*Cx, x \rangle \right) \cdot \left(\langle B^*By, y \rangle + \langle D^*Dy, y \rangle \right)$$

$$\leq 4 \left\langle \left[\frac{(A^*A)^r + (C^*C)^r}{2} \right] x, x \right\rangle^{\frac{1}{r}} \cdot \left\langle \left[\frac{(B^*B)^s + (D^*D)^s}{2} \right] y, y \right\rangle^{\frac{1}{s}}$$

$$(3.4)$$

for any $x, y \in H$, ||x|| = ||y|| = 1.

Consequently, by (3.2) - (3.4) we have

$$\left|\left\langle \left[\frac{B^*A + D^*C}{2}\right]x, y\right\rangle\right|^2 \le \left\langle \left[\frac{(A^*A)^r + (C^*C)^r}{2}\right]x, x\right\rangle^{\frac{1}{r}} \cdot \left\langle \left[\frac{(B^*B)^s + (D^*D)^s}{2}\right]y, y\right\rangle^{\frac{1}{s}}$$
(3.5)

for any $x, y \in H$, ||x|| = ||y|| = 1.

Taking the supremum over $x, y \in H$, ||x|| = ||y|| = 1 we deduce the desired inequality (3.1).

Remark 2. If s = r, then the inequality (3.1) is equivalent with

$$\left\|\frac{B^*A + D^*C}{2}\right\|^{2r} \le \left\|\frac{(A^*A)^r + (C^*C)^r}{2}\right\| \cdot \left\|\frac{(B^*B)^r + (D^*D)^r}{2}\right\|.$$
 (3.6)

Corollary 3. For any $A, C \in B(H)$ we have

$$\left\|\frac{A+C}{2}\right\|^{2r} \le \left\|\frac{(A^*A)^r + (C^*C)^r}{2}\right\|,\tag{3.7}$$

where $r \geq 1$. Also, we have

$$\left\|\frac{A^2 + C^2}{2}\right\|^2 \le \left\|\frac{(A^*A)^r + (C^*C)^r}{2}\right\|^{\frac{1}{r}} \cdot \left\|\frac{(AA^*)^s + (CC^*)^s}{2}\right\|^{\frac{1}{s}}$$
(3.8)

for all $r, s \ge 1$, and in particular

$$\left\|\frac{A^2 + C^2}{2}\right\|^{2r} \le \left\|\frac{(A^*A)^r + (C^*C)^r}{2}\right\| \cdot \left\|\frac{(AA^*)^r + (CC^*)^r}{2}\right\|$$
(3.9)

for $r \geq 1$.

The inequality (3.7) follows from (3.1) for B = D = I, while the inequality (3.8) is obtained from the same inequality (3.1) for $B = A^*$ and $D = C^*$. Another particular result of interest is the following one:

Corollary 4. For any $A, B \in B(H)$ we have

$$\left\|\frac{B^*A + A^*B}{2}\right\|^2 \le \left\|\frac{(A^*A)^r + (B^*B)^r}{2}\right\|^{\frac{1}{r}} \cdot \left\|\frac{(A^*A)^s + (B^*B)^s}{2}\right\|^{\frac{1}{s}}$$
(3.10)

for $r, s \ge 1$ and, in particular,

$$\left\|\frac{B^*A + A^*B}{2}\right\|^r \le \left\|\frac{(A^*A)^r + (B^*B)^r}{2}\right\|$$
(3.11)

for any $r \geq 1$.

The inequality (3.9) follows from (3.1) for D = A and C = B. Another particular case that might be of interest is the following one.

Corollary 5. For any $A, D \in B(H)$ we have

$$\left\|\frac{A+D}{2}\right\|^{2} \le \left\|\frac{(A^{*}A)^{r}+I}{2}\right\|^{\frac{1}{r}} \cdot \left\|\frac{(DD^{*})^{s}+I}{2}\right\|^{\frac{1}{s}}, \quad (3.12)$$

where $r, s \geq 1$. In particular

$$\|A\|^{2} \leq \left\|\frac{(A^{*}A)^{r} + I}{2}\right\|^{\frac{1}{r}} \cdot \left\|\frac{(AA^{*})^{s} + I}{2}\right\|^{\frac{1}{s}}.$$
 (3.13)

Moreover, for any $r \ge 1$ we have

$$||A||^{2r} \le \left\|\frac{(A^*A)^r + I}{2}\right\| \cdot \left\|\frac{(AA^*)^r + I}{2}\right\|.$$

The proof is obvious by the inequality (3.1) on choosing B = I, C = Iand writing the inequality for D^* instead of D.

Remark 3. If $T \in B(H)$ and T = A + iC, i.e., A and C are its Cartesian decomposition, then we get from (3.7) that

$$||T||^{2r} \le 2^{2r-1} ||A^{2r} + C^{2r}||,$$

for any $r \geq 1$.

Also, since $A = \operatorname{Re}(T) = \frac{T+T^*}{2}$ and $C = \operatorname{Im}(T) = \frac{T-T^*}{2i}$, then from (3.7) we get the following inequalities as well

$$\|\operatorname{Re}(T)\|^{2r} \le \left\|\frac{(T^*T)^r + (TT^*)^r}{2}\right\|$$

and

$$\|\operatorname{Im}(T)\|^{2r} \le \left\|\frac{(T^*T)^r + (TT^*)^r}{2}\right\|$$

for any $r \geq 1$.

In terms of the *Euclidean radius* of two operators $w_e(\cdot, \cdot)$, where, as in [1],

$$w_e(T,U) := \sup_{\|x\|=1} \left(|\langle Tx,x \rangle|^2 + |\langle Ux,x \rangle|^2 \right)^{\frac{1}{2}},$$

we have the following result as well.

Theorem 4. For any $A, B, C, D \in B(H)$ and p, q > 1 with $\frac{1}{p} + \frac{1}{q} = 1$, we have the inequality

$$w_e^2 (B^*A, D^*C) \le \|(A^*A)^p + (C^*C)^p\|^{1/p} \cdot \|(B^*B)^q + (D^*D)^q\|^{1/q}.$$
 (3.14)
Proof. For any $x \in H, \|x\| = 1$ we have the inequalities

$$\begin{split} |\langle B^*Ax, x\rangle|^2 + |\langle D^*Cx, x\rangle|^2 \\ &\leq \langle A^*Ax, x\rangle \cdot \langle B^*Bx, x\rangle + \langle C^*Cx, x\rangle \cdot \langle D^*Dx, x\rangle \\ &\leq (\langle A^*Ax, x\rangle^p + \langle C^*Cx, x\rangle^p)^{1/p} \cdot (\langle B^*Bx, x\rangle^q + \langle D^*Dx, x\rangle^q)^{1/q} \\ &\leq (\langle (A^*A)^p x, x\rangle + \langle (C^*C)^p x, x\rangle)^{1/p} \cdot (\langle (B^*B)^q x, x\rangle + \langle (D^*D)^q x, x\rangle)^{1/q} \\ &\leq \langle [(A^*A)^p + (C^*C)^p] x, x\rangle^{1/p} \cdot \langle [(B^*B)^q + (D^*D)^q] x, x\rangle^{1/q} \,. \end{split}$$

Taking the supremum over $x \in H$, ||x|| = 1 and noticing that the operators $(A^*A)^p + (C^*C)^p$ and $(B^*B)^q + (D^*D)^q$ are self-adjoint, we deduce the desired inequality (3.14).

The following particular case is of interest.

Corollary 6. For any $A, C \in B(H)$ and p, q > 1 with $\frac{1}{p} + \frac{1}{q} = 1$, we have

$$w_e^2(A,C) \le 2^{1/q} \| (A^*A)^p + (C^*C)^p \|^{1/p}$$

The proof follows from (3.14) for B = D = I.

Corollary 7. For any $A, D \in B(H)$ and p, q > 1 with $\frac{1}{p} + \frac{1}{q} = 1$, we have

$$w_e^2(A,D) \le ||(A^*A)^p + I||^{1/p} \cdot ||(D^*D)^q + I||^{1/q}.$$

4. NORM INEQUALITIES FOR THE COMMUTATOR

The commutator of two bounded linear operators T and U is the operator TU - UT. For the usual norm $\|\cdot\|$ and for any two operators T and U, by using the triangle inequality and the submultiplicity of the norm, we can state the following inequality

$$||TU - UT|| \le 2 ||U|| ||T||.$$
(4.1)

In [10], the following result has been obtained as well

$$||TU - UT|| \le 2\min\{||T||, ||U||\}\min\{||T - U||, ||T + U||\}.$$
(4.2)

By utilizing Theorem 3 we can state the following result for the numerical radius of the commutator.

Proposition 1. For any $T, U \in B(H)$ and $r, s \ge 1$ we have

 $||TU - UT||^2 \le 2^{2 - \frac{1}{r} - \frac{1}{s}} ||(T^*T)^r + (U^*U)^r||^{\frac{1}{r}} \cdot ||(TT^*)^s + (UU^*)^s||^{\frac{1}{s}}.$ (4.3) *Proof.* Follows by Theorem 3 on choosing $B = T^*, A = U, D = -U^*$ and C = T.

Remark 4. In particular, for r = s we get from (4.3) that

$$||TU - UT||^{2r} \le 2^{2r-2} ||(T^*T)^r + (U^*U)^r|| \cdot ||(TT^*)^r + (UU^*)^r||$$
(4.4)

and for r = 1 we get

$$||TU - UT||^{2} \le ||T^{*}T + U^{*}U|| \cdot ||TT^{*} + UU^{*}||.$$
(4.5)

For a bounded linear operator $T \in B(H)$, the self-commutator is the operator $T^*T - TT^*$. Observe that the operator $V := -i(T^*T - TT^*)$ is self-adjoint and w(V) = ||V||, i.e.,

$$w(T^*T - TT^*) = \|T^*T - TT^*\|$$

Now, utilizing (4.3) for $U = T^*$ we can state the following corollary.

Corollary 8. For any $T \in B(H)$ we have the inequality

$$\|T^*T - TT^*\|^2 \le 2^{2-\frac{1}{r} - \frac{1}{s}} \|(T^*T)^r + (TT^*)^r\|^{\frac{1}{r}} \cdot \|(T^*T)^s + (TT^*)^s\|^{\frac{1}{s}}.$$
 (4.6)

In particular, we have

$$\|T^*T - TT^*\|^r \le 2^{r-1} \|(T^*T)^r + (TT^*)^r\|, \qquad (4.7)$$

for any $r \geq 1$.

Moreover, for r = 1 we have

$$||T^*T - TT^*|| \le ||T^*T + TT^*||.$$
(4.8)

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