

## A CLASS OF FUNCTIONAL EQUATIONS (ALMOST) CHARACTERIZING POLYNOMIALS ON INTEGRAL DOMAINS

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ABSTRACT. Let  $R$  be an infinite integral domain not of characteristic 2. For a given  $n \geq 2$ , suppose functions  $f : R \rightarrow R$  and  $h : R \rightarrow R$  satisfy

$$[x_1, x_2, \dots, x_n; f] = h(x_1 + \dots + x_n) \prod_{j>i} (x_j - x_i),$$

where the left side denotes the determinant of the  $n \times n$  matrix with row  $i$  given by  $(1, x_i, x_i^2, \dots, x_i^{n-2}, f(x_i))$ . It is proved that  $Df$  is a polynomial of degree at most  $n$  over  $R$ , for some  $D$  in  $R$ . For  $n = 2$  and  $n = 3$  the conclusion can be strengthened to take  $D = 1$ , but surprisingly this is not possible for  $n \geq 4$ .

Several authors have used divided differences to characterize polynomials, first on  $\mathbb{R}$  (the set of real numbers) and then on fields of characteristic different from 2. It is easy to see that quadratic polynomials on  $\mathbb{R}$  enjoy the property that

$$\frac{f(x) - f(y)}{x - y} = f' \left( \frac{x + y}{2} \right).$$

A strong converse of this is proved in [1] and [5], where it is shown that  $f : K \rightarrow K$  (where  $K$  is any field not of characteristic 2) satisfies

$$f(x) - f(y) = (x - y)h(x + y),$$

for some function  $h : K \rightarrow K$ , only if  $f$  is a quadratic polynomial function.

This result has been successively generalized to higher degrees in [3], [8], [7], [2], [4] by considering the equation

$$f[x_1, x_2, \dots, x_n] = g(x_1 + \dots + x_n) \tag{1}$$

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for  $n \geq 2$ . Here  $f[x_1, x_2, \dots, x_n]$  denotes the  $n^{\text{th}}$  divided difference of  $f$ , defined as

$$f[x_1, \dots, x_n] := \frac{[x_1, \dots, x_n; f]}{[x_1, \dots, x_n]}$$

where

$$[x_1, \dots, x_n; f] = \begin{vmatrix} 1 & x_1 & x_1^2 & \dots & x_1^{n-2} & f(x_1) \\ 1 & x_2 & x_2^2 & \dots & x_2^{n-2} & f(x_2) \\ \dots & \dots & \dots & \dots & \dots & \dots \\ 1 & x_n & x_n^2 & \dots & x_n^{n-2} & f(x_n) \end{vmatrix}$$

and

$$[x_1, \dots, x_n] = \begin{vmatrix} 1 & x_1 & x_1^2 & \dots & x_1^{n-1} \\ \dots & \dots & \dots & \dots & \dots \\ 1 & x_n & x_n^2 & \dots & x_n^{n-1} \end{vmatrix} = \prod_{j>i} (x_j - x_i).$$

In [7] and [2], equation (1) is solved for functions  $f, g : \mathbb{R} \rightarrow \mathbb{R}$ . It is shown that  $f$  is a polynomial of degree at most  $n$  and that  $g$  is linear.

The strongest results are [8], [4], and [6]. In [8] and [4] it is supposed that  $f, g : K \rightarrow K$  with  $K$  a field not of characteristic 2. There it is proved that  $f$  is a polynomial of degree at most  $n$  over  $K$ . In [6] the slightly more general equation

$$\frac{[x_1, \dots, x_n; f_1, \dots, f_n]}{[x_1, \dots, x_n]} = g(x_1 + \dots + x_n) \tag{2}$$

is solved for unknown functions  $f_1, \dots, f_n, g : K \rightarrow K$  where  $K$  is a field of characteristic different from 2 with enough distinct points, and where

$$[x_1, \dots, x_n; f_1, \dots, f_n] = \begin{vmatrix} 1 & x_1 & x_1^2 & \dots & x_1^{n-2} & f_1(x_1) \\ 1 & x_2 & x_2^2 & \dots & x_2^{n-2} & f_2(x_2) \\ \dots & \dots & \dots & \dots & \dots & \dots \\ 1 & x_n & x_n^2 & \dots & x_n^{n-2} & f_n(x_n) \end{vmatrix}.$$

There it is shown that the  $f_i$ 's are all equal to the same polynomial of degree at most  $n$ .

Our goal was to generalize these results to integral domains. Since every finite integral domain is a field, we suppose that  $R$  is an infinite integral domain throughout most of this article. Of course division is not defined in integral domains, so we consider the equation (1) in the form

$$[x_1, x_2, \dots, x_n; f] = h(x_1 + \dots + x_n)[x_1, \dots, x_n], \quad x_1, \dots, x_n \in R. \tag{3}$$

In Section 1 we present our main result, showing that  $f, h : R \rightarrow R$  satisfy (3) only if there exists an element  $D \in R$  for which  $Df$  is a polynomial of degree at most  $n$  over  $R$ . For  $n \geq 4$ , we also present an example showing

that the conclusion cannot be strengthened in general; that is,  $f$  itself need not be a polynomial over  $R$ .

We move to the more general structure of (commutative) rings in Section 2. There we show that for  $n = 2$  one need not suppose that  $R$  is an integral domain to conclude that  $f$  is a polynomial over  $R$ .

We show in Section 3 that the conclusion can also be strengthened in the case  $n = 3$ . If  $R$  is an infinite integral domain, then  $f$  must be a polynomial of degree at most 3 over  $R$ .

Finally, we turn to the more general equation (2) in the last section, considering it in the form

$$[x_1, x_2, \dots, x_n; f_1, \dots, f_n] = h(x_1 + \dots + x_n)[x_1, \dots, x_n], \quad x_1, \dots, x_n \in R. \quad (4)$$

### 1. THE MAIN RESULT

First we prove a lemma that is a key to our main result. This is similar to the lemma in [7] which is valid when  $R$  is the field of real numbers. In fact, the proof given in [7] holds when  $R$  is any field of characteristic different from 2. But the proof given in [7] does not work when  $R$  is not divisible by 2, as for example when  $R$  is the ring of integers.

**Lemma 1.** *Let  $R$  be an infinite integral domain with characteristic different from 2. Let  $E$  be a finite subset of  $R$ . If  $H : R \rightarrow R$  satisfies*

$$H(0) = 0, \quad (5)$$

and

$$tH(t) - sH(s) = (t - s)H(s + t), \quad s, t \in R \setminus E, \quad (6)$$

then

$$H(u) = au, \quad u \in R$$

for some constant  $a \in R$ .

*Proof.* First note that we can assume without loss of generality that  $E$  is symmetric about 0. If  $E$  is not symmetric we simply define  $E_S = E \cup (-E)$  where as usual  $-E = \{-e : e \in E\}$ . Then  $E_S$  is finite, symmetric, and we have

$$tH(t) - sH(s) = (t - s)H(s + t), \quad s, t \in R \setminus E_S, \quad H(0) = 0.$$

So we will assume that  $E$  is symmetric. For  $s \in R \setminus E$  put  $t = -s$ , since  $E$  is symmetric  $-s \notin E$  so  $t \in R \setminus E$ . From (6) we get

$$-s[H(-s) + H(s)] = -2sH(0) = 0, \quad s \in R \setminus E.$$

Thus (also for  $s = 0$ , since  $H(0) = 0$  is given)

$$H(-s) = -H(s), \quad s \in R \setminus E.$$

Replace  $s$  by  $-s$  in (6) to get

$$tH(t) - sH(s) = tH(t) + sH(-s) = (t+s)H(t-s), \quad s, t \in R \setminus E. \quad (7)$$

Next we put  $u = t - s$ , so  $t = s + u$ , in (7)

$$(s+u)H(s+u) - sH(s) = (2s+u)H(u), \quad s, s+u \in R \setminus E.$$

Interchange  $s$  and  $u$  to get

$$(u+s)H(u+s) - uH(u) = (2u+s)H(s), \quad u, u+s \in R \setminus E.$$

Comparison of these two last equations gives

$$2sH(u) = 2uH(s), \quad u, s, u+s \in R \setminus E.$$

Since  $R$  is an integral domain and the characteristic is different from 2 this gives us

$$sH(u) = uH(s), \quad u, s, s+u \in R \setminus E.$$

Note that if  $b_1, \dots, b_n \in R$  then the set of all  $s \in R$  satisfying  $s+b_1 \in R \setminus E$ ,  $s+b_2 \in R \setminus E, \dots, s+b_n \in R \setminus E$  is infinite since the  $n$  conditions are equivalent to  $s \in R \setminus (\cup_{i=1}^n (E - b_i))$  where as usual  $E - b = \{e - b : e \in E\}$ . Since  $R$  is infinite and  $E$  is finite the set  $R \setminus (\cup_{i=1}^n (E - b_i))$  is infinite.

Choose an element  $\alpha \in R \setminus E$  such that  $\alpha + 1 \in R \setminus E$  also. For this element  $\alpha$  we have

$$\alpha H(u) = uH(\alpha), \quad u, u+\alpha \in R \setminus E,$$

and

$$(\alpha+1)H(u) = uH(\alpha+1), \quad u, u+\alpha+1 \in R \setminus E.$$

The difference gives

$$H(u) = [H(\alpha+1) - H(\alpha)]u, \quad u, u+\alpha, u+\alpha+1 \in R \setminus E.$$

Defining  $a = H(\alpha+1) - H(\alpha)$ , we have

$$H(u) = au, \quad u, u+\alpha, u+\alpha+1 \in R \setminus E.$$

Substituting this into (7) with  $s, t, s+\alpha, t+\alpha, s+\alpha+1, t+\alpha+1 \in R \setminus E$  we have

$$(t+s)H(t-s) = at^2 - as^2 = a(t+s)(t-s).$$

If  $t+s \neq 0$  it follows, since  $R$  is an integral domain, that

$$H(t-s) = a(t-s). \quad (8)$$

Given any  $x \in R$  there are infinitely many choices of  $s$  which satisfy  $s, s+x, s+\alpha, s+x+\alpha, s+\alpha+1, s+x+\alpha+1 \in R \setminus E$ . There is at most one  $s$  which satisfies  $x+2s=0$ . So we can choose  $s \in R$  such that  $s, s+x, s+\alpha, s+x+\alpha, s+\alpha+1, s+x+\alpha+1 \in R \setminus E$  and  $x+2s \neq 0$ . Put  $t = x+s$

then  $t - s = x$ ,  $t + s \neq 0$  and  $s, t, s + \alpha, t + \alpha, s + \alpha + 1, t + \alpha + 1 \in R \setminus E$  so from (8) we get

$$H(x) = ax.$$

Since  $x \in R$  was arbitrary this concludes the proof. □

Before proving the main result, we make the following observation.

Any polynomial  $p(x) = \sum_{k=0}^{n-2} a_k x^k$  satisfies

$$[x_1, x_2, \dots, x_n; p] = \begin{vmatrix} 1 & x_1 & \dots & x_1^{n-2} & p(x_1) \\ \dots & \dots & \dots & \dots & \dots \\ 1 & x_n & \dots & x_n^{n-2} & p(x_n) \end{vmatrix} = 0,$$

so the function  $\tilde{f} = f + p$  also satisfies (3) whenever  $f$  satisfies (3), by the linearity of (3).

**Theorem 2.** *Let  $R$  be an infinite integral domain, with characteristic different from 2, and let  $n$  be an integer greater than 1. Then for  $f, h : R \rightarrow R$  satisfying*

$$[x_1, x_2, \dots, x_n; f] = h(x_1 + \dots + x_n)[x_1, \dots, x_n], \quad x_1, \dots, x_n \in R, \quad (9)$$

there exist  $a, b, d \in R$  such that

$$f(x) = ax^n + bx^{n-1} + q(x), \quad h(x) = ax + b, \quad x \in R,$$

for some map  $q : R \rightarrow R$  for which  $dq$  is a polynomial of degree at most  $n - 2$  over  $R$ . (That is,  $q$  is a polynomial over  $K$ , the field of fractions of  $R$ .)

*Remark.* Before proving the main theorem we remark that the conclusion of Theorem 2 cannot be strengthened in general for  $n \geq 4$ , as the following example shows. Let  $R = \mathbb{Z}$  (the integers), and define  $q : \mathbb{Z} \rightarrow \mathbb{Z}$  by

$$q(x) := \frac{x(x + 1)}{2}, \quad x \in \mathbb{Z}.$$

Then  $2q$  is a polynomial over  $\mathbb{Z}$ , but  $q$  is not. The functions

$$f(x) = ax^n + bx^{n-1} + q(x), \quad h(x) = ax + b$$

satisfy (9) for any  $n \geq 4$ .

On the other hand the conclusion of Theorem 2 can be strengthened in the cases  $n = 2$  and  $n = 3$ , as we will show later.

*Proof.* We adapt the proof of the theorem in [7] to the present situation. Given an arbitrary set of  $n - 1$  distinct elements  $y_1, \dots, y_{n-1} \in R$ , we wish to choose coefficients  $a_0, \dots, a_{n-2}$  so that the map  $\tilde{f}$

$$\tilde{f}(x) := f(x) - \sum_{k=0}^{n-2} a_k x^k \tag{10}$$

has  $y_1, \dots, y_{n-1}$  as zeros. In order to do this, we must allow for the possibility that  $a_0, \dots, a_{n-2}$  are in the field  $K$  of fractions of  $R$ . Notice, however, that we are not extending our functions to  $K$ . (In fact, there is no obvious way to do this.) We need to solve the system of equations

$$\begin{pmatrix} 1 & y_1 & y_1^2 & \cdots & y_1^{n-2} \\ \dots & \dots & \dots & \dots & \dots \\ 1 & y_n & y_n^2 & \cdots & y_n^{n-2} \end{pmatrix} \begin{pmatrix} a_0 \\ \dots \\ a_{n-2} \end{pmatrix} = \begin{pmatrix} f(y_1) \\ \dots \\ f(y_{n-1}) \end{pmatrix}.$$

Since the  $y_i$ 's are distinct, the determinant of the matrix is

$$D = \prod_{\substack{i,j=1 \\ j>i}}^{n-1} (y_j - y_i) \neq 0,$$

and Cramer's Rule gives the solution as

$$a_i = \frac{D_i}{D}, \quad D_i = \begin{pmatrix} 1 & \cdots & y_1^{i-1} & f(y_1) & y_1^{i+1} & \cdots & y_1^{n-2} \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 1 & \cdots & y_{n-1}^{i-1} & f(y_{n-1}) & y_{n-1}^{i+1} & \cdots & y_{n-1}^{n-2} \end{pmatrix}, \quad 0 \leq i \leq n-2. \tag{11}$$

Multiplying (10) by  $D$ , we have

$$D\tilde{f}(x) = Df(x) - \sum_{k=0}^{n-2} D_k x^k. \tag{12}$$

So the map  $F : R \rightarrow R$  defined by

$$F(x) = D\tilde{f}(x), \quad x \in R, \tag{13}$$

satisfies

$$F(y_j) = 0, \quad 1 \leq j \leq n-1.$$

Furthermore, multiplying (9), with  $\tilde{f}$  in place of  $f$ , by  $D$ , we have also

$$[x_1, \dots, x_n; F] = Dh(x_1 + \cdots + x_n)[x_1, \dots, x_n], \quad x_1, \dots, x_n \in R. \tag{14}$$

Substituting  $x_1 = x \in R, x_{j+1} = y_j$  ( $j = 1, \dots, n-1$ ) in (14) we get

$$(-1)^{n-1} DF(x) = Dh\left(x + \sum_{j=1}^{n-1} y_j\right) \prod_{j=1}^{n-1} (y_j - x) \prod_{\substack{i,j=1 \\ j>i}}^{n-1} (y_j - y_i), \quad x \in R,$$

which simplifies to

$$F(x) = Dh\left(x + \sum_{j=1}^{n-1} y_j\right) \prod_{j=1}^{n-1} (x - y_j), \quad x \in R. \tag{15}$$

Next substitute  $x_1 = x, x_2 = y, x_{j+2} = y_j$ , ( $1 \leq j \leq n-2$ ) in (14) to get

$$\begin{aligned}
 & (-1)^{n-1} F(x) \begin{vmatrix} 1 & y & \dots & y^{n-2} \\ 1 & y_1 & \dots & y_1^{n-2} \\ \dots & \dots & \dots & \dots \\ 1 & y_{n-2} & \dots & y_{n-2}^{n-2} \end{vmatrix} - (-1)^{n-1} F(y) \begin{vmatrix} 1 & x & \dots & x^{n-2} \\ 1 & y_1 & \dots & y_1^{n-2} \\ \dots & \dots & \dots & \dots \\ 1 & y_{n-2} & \dots & y_{n-2}^{n-2} \end{vmatrix} \\
 & = Dh \left( x + y + \sum_{j=1}^{n-2} y_j \right) (y-x) \prod_{j=1}^{n-2} \left[ (y_j - x)(y_j - y) \prod_{\substack{i=1 \\ j>i}}^{n-3} (y_j - y_i) \right],
 \end{aligned}$$

or

$$\begin{aligned}
 & (-1)^{n-1} \left\{ F(x) \prod_{j=1}^{n-2} \left[ (y_j - y) \prod_{\substack{i=1 \\ j>i}}^{n-3} (y_j - y_i) \right] - F(y) \prod_{j=1}^{n-2} \left[ (y_j - x) \prod_{\substack{i=1 \\ j>i}}^{n-3} (y_j - y_i) \right] \right\} \\
 & = Dh \left( x + y + \sum_{j=1}^{n-2} y_j \right) (y-x) \prod_{j=1}^{n-2} \left[ (y_j - x)(y_j - y) \prod_{\substack{i=1 \\ j>i}}^{n-3} (y_j - y_i) \right].
 \end{aligned}$$

Inserting (15) into this and simplifying, we get

$$\begin{aligned}
 & h \left( x + \sum_{j=1}^{n-1} y_j \right) (y_{n-1} - x) - h \left( y + \sum_{j=1}^{n-1} y_j \right) (y_{n-1} - y) \\
 & = h \left( x + y + \sum_{j=1}^{n-2} y_j \right) (y-x), \quad \forall x, y \in R \setminus \{y_1, \dots, y_{n-2}\}.
 \end{aligned}$$

Now let  $s = x - y_{n-1}$ ,  $t = y - y_{n-1}$  so  $t, s \in R \setminus \{y_1 - y_{n-1}, \dots, y_{n-2} - y_{n-1}\}$  and

$$\begin{aligned}
 & -sh \left( s + \sum_{j=1}^{n-2} y_j + 2y_{n-1} \right) + th \left( t + \sum_{j=1}^{n-2} y_j + 2y_{n-1} \right) \\
 & = (t-s)h \left( s + t + \sum_{j=1}^{n-2} y_j + 2y_{n-1} \right).
 \end{aligned}$$

Defining the map  $H : R \rightarrow R$  by

$$H(s) := h \left( s + \sum_{j=1}^{n-2} y_j + 2y_{n-1} \right) - h \left( \sum_{j=1}^{n-2} y_j + 2y_{n-1} \right), \quad s \in R, \quad (16)$$

we have

$$H(0) = 0,$$

and

$$tH(t) - sH(s) = (t-s)H(s+t), \quad s, t \in R \setminus E,$$

where  $E = \{y_1 - y_{n-1}, \dots, y_{n-2} - y_{n-1}\}$ . By the lemma  $H(u) = au$ , for all  $u \in R$ . We get from (16) that

$$h(x) = H\left(x - \sum_{j=1}^{n-2} y_j - 2y_{n-1}\right) + h\left(\sum_{j=1}^{n-2} y_j + 2y_{n-1}\right) = ax + b,$$

for some constant  $b \in R$ . So from (15) we get

$$F(x) = D\left(ax + a \sum_{j=1}^{n-1} y_j + b\right) \prod_{j=1}^{n-1} (x - y_j), \quad x \in R.$$

From (13) we get

$$D\tilde{f}(x) = D(ax^n + bx^{n-1} + r(x)), \quad x \in R,$$

where  $r$  is a polynomial of degree at most  $n - 2$  over  $R$ . Since  $D \neq 0$  and  $R$  is an integral domain we get

$$\tilde{f}(x) = ax^n + bx^{n-1} + r(x), \quad x \in R.$$

So by (12) we have

$$f(x) = ax^n + bx^{n-1} + q(x), \quad x \in R,$$

where

$$q(x) = r(x) + \sum_{k=0}^{n-2} a_k x^k.$$

Finally by (11),  $x \mapsto Dq(x)$  is a polynomial of degree at most  $n - 2$  over  $R$ .  $\square$

## 2. THE CASE $n=2$ REVISITED

In the case  $n = 2$  we show that stronger results hold, even on the more general structure of a commutative ring with unit. We begin with a lemma similar to Lemma 1.

**Lemma 3.** *Let  $R$  be any commutative ring with unit, and suppose 2 is not a zero-divisor. Then every map  $h : R \rightarrow R$  fulfilling*

$$h(x + y)(x - y) = h(x)x - h(y)y, \quad x, y \in R, \quad (17)$$

*is of the form*

$$h(x) = ax + b$$

*for some constants  $a, b \in R$ .*



*Proof.* First, define  $H : R \rightarrow R$  by

$$H(x) := h(x) - h(0), \quad x \in R.$$

Then  $H(0) = 0$  and  $H$  also satisfies (17). With  $x = u$  and  $y = v + w$ , then  $x = u + v$  and  $y = w$  in (17), we have

$$\begin{aligned} H(u + v + w)(u - v - w) &= H(u)u - H(v + w)(v + w) \\ &= H(u)u - H(v + w)[(v - w) + 2w] \\ &= H(u)u - H(v)v + H(w)w - 2H(v + w)w, \end{aligned}$$

respectively

$$\begin{aligned} H(u + v + w)(u + v - w) &= H(u + v)(u + v) - H(w)w \\ &= H(u + v)[(u - v) + 2v] - H(w)w \\ &= H(u)u - H(v)v + 2H(u + v)v - H(w)w. \end{aligned}$$

Subtracting the first equation from the second, we get

$$2H(u + v + w)v = 2[H(u + v)v - H(w)w + H(v + w)w].$$

Since 2 is not a zero-divisor, this means

$$[H(u + v + w) - H(u + v)]v = [H(v + w) - H(w)]w, \quad u, v, w \in R. \quad (18)$$

With  $u = t - 1, v = 1$  we deduce that

$$H(t + w) - H(t) = H(1 + w) - H(w)]w, \quad w, t \in R. \quad (19)$$

Now define  $J : R \rightarrow R$  by

$$J(w) := [H(1 + w) - H(w)]w, \quad w \in R.$$

Then reducing (18) by (19), we have

$$J(w)v = J(v)w, \quad w, v \in R.$$

With  $v = 1$ , this yields (with  $a := J(1)$ )

$$J(w) = aw, \quad w \in R.$$

Inserting this into (19) and putting  $t = 0$ , we get

$$H(w) = aw, \quad w \in R.$$

By definition of  $H$ , this gives the asserted form of  $h$ . □

The following example shows the necessity of the condition that 2 is not a zero divisor.

**Example 4.** When  $R = \mathbb{Z}/4\mathbb{Z}$  (the ring of integers modulo 4) then  $h : R \rightarrow R$  given by  $h(0) = h(2) = 0, h(1) = 1, h(3) = 3$  is a solution of (17) which is *not* of the form  $h(x) = ax + b$  for any  $a, b \in R$ .

**Theorem 5.** *Let  $R$  be a commutative ring with unit, in which 2 is not a zero-divisor. Then  $f, h : R \rightarrow R$  satisfy*

$$f(x) - f(y) = h(x+y)(x-y), \quad x, y \in R, \quad (20)$$

*if and only if there exist  $a, b, c \in R$  such that*

$$f(x) = ax^2 + bx + c, \quad h(x) = ax + b, \quad x \in R.$$

*Proof.* Putting  $y = 0$  in (20) yields

$$f(x) = h(x)x + f(0), \quad x \in R,$$

and with this (20) reduces to (17). Hence we have  $h(x) = ax + b$  by Lemma 3. Then

$$f(x) = (ax + b)x + f(0).$$

With  $c := f(0)$ , we have the asserted form of  $f$ . The converse is easily checked.  $\square$

### 3. THE CASE $n=3$ REVISITED

In the case  $n = 3$  we can also strengthen Theorem 2. As in the case  $n = 2$ , the function  $q$  must be a polynomial over  $R$ .

**Theorem 6.** *Let  $R$  be an infinite integral domain, with characteristic different from 2. The general solution  $f, h : R \rightarrow R$  of*

$$\begin{aligned} f(x)(y-z) - f(y)(x-z) + f(z)(x-y) \\ = h(x+y+z)(x-y)(y-z)(x-z), \quad x, y, z \in R, \end{aligned} \quad (21)$$

*is given by*

$$f(x) = ax^3 + bx^2 + cx + d, \quad h(x) = ax + b, \quad x \in R,$$

*for arbitrary constants  $a, b, c, d \in R$ .*

*Proof.* Define  $F : R \rightarrow R$  by

$$F(x) = f(x) - [f(1) - f(0)]x - f(0), \quad x \in R. \quad (22)$$

Then  $F$  satisfies (21) with  $h$  and  $F(0) = F(1) = 0$ . Putting  $y = 1, z = 0$  in (21) with  $F$  in place of  $f$ , we get

$$F(x) = h(x+1)(x-1)x, \quad x \in R. \quad (23)$$

With this (21) yields (taking  $z = 0$ )

$$h(x+1)(x-1)xy - h(y+1)(y-1)yx = h(x+y)(x-y)xy, \quad x, y \in R,$$

and from this, since  $R$  is an integral domain, we get

$$h(x+1)(x-1) - h(y+1)(y-1) = h(x+y)(x-y), \quad x, y \in R \setminus \{0\}.$$

Defining  $H : R \rightarrow R$  by

$$H(s) := h(s + 2) - h(2), \quad s \in R, \tag{24}$$

we have  $H(0) = 0$  and

$$H(x - 1)(x - 1) - H(y - 1)(y - 1) = H(x + y - 2)(x - y), \quad x, y \in R \setminus \{0\}.$$

With  $s = x - 1, t = y - 1$ , this last equation becomes

$$H(s)s - H(t)t = H(s + t)(s - t), \quad s, t \in R \setminus \{-1\},$$

which is equation (6) with  $E = \{-1\}$ . Hence by Lemma 1 we have  $H(u) = au$  for all  $u \in R$ , for some constant  $a \in R$ . Therefore, by (24) we see that

$$h(x) = H(x - 2) + h(2) = ax + b, \quad x \in R,$$

for some constant  $b \in R$ . Then (23) yields

$$F(x) = [a(x + 1) + b](x - 1)x = ax^3 + bx^2 - (a + b)x, \quad x \in R,$$

and from this we get the asserted form of  $f$  by application of (22).  $\square$

Our next example shows that Theorem 6 cannot be extended to rings in general, even to those rings in which 2 is not a zero-divisor.

**Example 7.** Let  $R = \mathbb{Z}/9\mathbb{Z}$  be the ring of integers modulo 9. Then the pair  $f, h : R \rightarrow R$  given by  $h(2) = h(7) = 0, h(x) = 3$ , for  $x \in R \setminus \{2, 7\}$  and  $f(x) = (x - 1)xh(x + 1)$  is a solution of (21) but  $h$  is not of the form  $h(x) = ax + b$  for any  $a, b \in R$ .

#### 4. THE CASE OF $n + 1$ UNKNOWN FUNCTIONS

We conclude with the following generalization of Theorem 2.

**Theorem 8.** *Let  $R$  be an infinite integral domain, with characteristic different from 2, and let  $n$  be an integer greater than 1. Then for  $f_1, \dots, f_n, h : R \rightarrow R$  satisfying*

$$[x_1, x_2, \dots, x_n; f_1, \dots, f_n] = h(x_1 + \dots + x_n)[x_1, \dots, x_n], \quad x_1, \dots, x_n \in R, \tag{25}$$

there exist  $a, b, d \in R$  such that

$$f_1(x) = \dots = f_n(x) = ax^n + bx^{n-1} + q(x), \quad h(x) = ax + b, \quad x \in R,$$

for some map  $q : R \rightarrow R$  such that  $dq$  is a polynomial of degree at most  $n - 2$  over  $R$ .

*Proof.* By Theorem 2, we need only show that  $f_1, \dots, f_n$  are equal. To this end, let  $i$  be any index from  $\{1, \dots, n - 1\}$ , and put  $x_n = x_i$  in (25). Then subtract row  $i$  from row  $n$  in the determinant on the left hand side of the resulting equation. Expanding that determinant about row  $n$  we obtain

$$[x_1, \dots, x_{n-1}] (f_n(x_i) - f_i(x_i)) = 0.$$

Thus  $f_n = f_i$ . Since  $i$  was arbitrary, all  $f_i$ 's are equal and the result follows from Theorem 2.  $\square$

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